



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/873,681         | 06/04/2001          | Lanny Gilbert         | 36968/248470           |

23370  
JOHN S. PRATT, ESQ  
KILPATRICK STOCKTON, LLP  
1100 PEACHTREE STREET  
SUITE 2800  
ATLANTA, GA 30309

# DUPLICATE

CONFIRMATION NO. 7032

FORMALITIES  
LETTER

\*OC00000006212733\*

Date Mailed: 06/21/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

MC  
Please type a plus sign (+) inside this box → ☐

0320  
PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

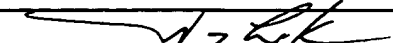
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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|                                                                                     |                             |                               |              |
|-------------------------------------------------------------------------------------|-----------------------------|-------------------------------|--------------|
| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) | <b>Application Number</b>   | 09/873,681                    |              |
|                                                                                     | <b>Filing Date</b>          | June 4, 2001                  |              |
|                                                                                     | <b>First Named Inventor</b> | Gilbert, Lanny                |              |
|                                                                                     | <b>Group Art Unit</b>       | 2645                          |              |
|                                                                                     | <b>Examiner Name</b>        | Unassigned                    |              |
| <b>Total Number of Pages in This Submission</b>                                     |                             | <b>Attorney Docket Number</b> | 36968/248470 |

| ENCLOSURES (check all that apply)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><br><input type="checkbox"/> Fee Attached<br><br><input checked="" type="checkbox"/> Amendment / Response<br><br><input type="checkbox"/> After Final<br><br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><br><input checked="" type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><br><b>Copy of Notice to File Corrected Application Papers under Tab A; 6 pages of Drawings, Figs. 1-7 under Tab B.</b> |
| <b>Remarks</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                                                                                           |
|--------------------------------------------|-------------------------------------------------------------------------------------------|
| Firm or Individual name                    | 23370  |
| Signature                                  | 23370<br>PATENT TRADEMARK OFFICE                                                          |
| Date                                       | July 6, 2001                                                                              |

| CERTIFICATE OF MAILING                                                                                                                                                                                                                              |                                                                                     |      |              |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|------|--------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first certified class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: July 6, 2001 |                                                                                     |      |              |
| Typed or printed name                                                                                                                                                                                                                               | Li K. Wang, Reg. No. 44,393                                                         |      |              |
| Signature                                                                                                                                                                                                                                           |  | Date | July 6, 2001 |

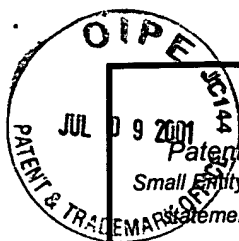
Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type (+) inside this box →



PTO/SB/17 (12/99)  
Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL**

for FY 2001

Patent fees are subject to annual revision  
Small Entity payments must be supported by a small entity  
Statement, otherwise, large entity fees must be paid.  
See 37 C.F.R. §§ 1.27 and 1.28

**TOTAL AMOUNT OF PAYMENT (\$0.00)****Complete if Known**

|                        |               |
|------------------------|---------------|
| Application Number     | 09/873,681    |
| Filing Date            | June 4, 2001  |
| First Named Inventor   | Lanny Gilbert |
| Group / Art Unit       | 2645          |
| Examiner Name          | Unassigned    |
| Attorney Docket Number | 36968/248470  |

**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number  
Deposit  
Account  
Name

11-0855

KILPATRICK STOCKTON LLP

- ☒ Charge any Additional Fee Required Under  
37 CFR. 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:  
☐ Check ☐ Credit card ☐ Money Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description        | Fee Paid |
|----------|----------|----------|----------|------------------------|----------|
| 101      | 710      | 201      | 355      | Utility filing fee     |          |
| 106      | 320      | 206      | 160      | Design filing fee      |          |
| 107      | 490      | 207      | 245      | Plant filing fee       |          |
| 108      | 710      | 208      | 355      | Reissue filing fee     |          |
| 114      | 150      | 214      | 75       | Provisional filing fee |          |

**SUBTOTAL (1) (\$)** 0.00**2. EXTRA CLAIM FEES** Extra Claims Fee from below Fee Paid

|                           |      |        |   |   |    |   |      |
|---------------------------|------|--------|---|---|----|---|------|
| Total Claims              | 23** | -23**= | 0 | x | 18 | = | 0.00 |
| Independent claims        | 5**  | -5**=  | 0 | x | 80 | = | 0.00 |
| Multiple Dependent Claims |      |        |   |   |    |   |      |

\*\* or number previously paid, if greater; For Reissues, see below

| Large Entity |          | Small Entity |          | Fee Description                                           | Fee Paid |
|--------------|----------|--------------|----------|-----------------------------------------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                                                           |          |
| 103          | 18       | 203          | 9        | Claims in excess of 20                                    |          |
| 102          | 80       | 202          | 40       | Independent claims in excess of 3                         |          |
| 104          | 270      | 204          | 135      | Multiple dependent claim, if not paid                     |          |
| 109          | 80       | 209          | 40       | **Reissue independent claims over original patent         |          |
| 110          | 18       | 210          | 9        | **Reissue claims in excess of 20 and over original patent |          |

**SUBTOTAL (2) (\$)** 0.00**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Entity Code | Small Entity Code | Fee (\$) | Fee Description | Fee Paid                                                                   |  |
|-------------------|-------------------|----------|-----------------|----------------------------------------------------------------------------|--|
| 105               | 130               | 205      | 65              | Surcharge - late filing fee or oath                                        |  |
| 127               | 50                | 227      | 25              | Surcharge - late provisional filing fee or cover sheet                     |  |
| 139               | 130               | 139      | 130             | Non-English Specification                                                  |  |
| 147               | 2,520             | 147      | 2,520           | For filing a request for <i>ex parte</i> reexamination                     |  |
| 112               | 920*              | 112      | 920*            | Requesting publication of SIR prior to Examiner action                     |  |
| 113               | 1,840             | 113      | 1,840           | Requesting publication of SIR after Examiner action                        |  |
| 115               | 110               | 215      | 55              | Extension for reply within first month                                     |  |
| 116               | 390               | 216      | 195             | Extension for reply within second month                                    |  |
| 117               | 890               | 217      | 445             | Extension for reply within third month                                     |  |
| 118               | 1,390             | 218      | 695             | Extension for reply within fourth month                                    |  |
| 128               | 1,890             | 228      | 945             | Extension for reply within fifth month                                     |  |
| 119               | 300               | 219      | 155             | Notice of appeal                                                           |  |
| 120               | 310               | 220      | 155             | Filing a brief in support of an appeal                                     |  |
| 121               | 270               | 221      | 135             | Request for oral hearing                                                   |  |
| 138               | 1,510             | 138      | 1,510           | Petition to institute a public use proceeding                              |  |
| 140               | 110               | 240      | 55              | Petition to revive - unavoidable                                           |  |
| 141               | 1,240             | 241      | 620             | Petition to revive - unintentional                                         |  |
| 142               | 1,240             | 242      | 620             | Utility issue fee (or reissue)                                             |  |
| 143               | 440               | 243      | 220             | Design issue fee                                                           |  |
| 144               | 600               | 244      | 300             | Plant issue fee                                                            |  |
| 122               | 130               | 122      | 130             | Petitions to the Commissioner                                              |  |
| 123               | 50                | 123      | 50              | Petitions related to provisional applications                              |  |
| 126               | 240               | 126      | 240             | Submission of Information Disclosure Statement                             |  |
| 581               | 40                | 581      | 40              | Recording each patent assignment per property (times number of properties) |  |
| 146               | 710               | 246      | 355             | Filing a submission after final rejection (37 CFR 1.129(a))                |  |
| 149               | 710               | 249      | 355             | For each additional invention to be examined (37 CFR 1.129(b))             |  |
| 179               | 710               | 279      | 345             | Request for Continued Examination (RCE)                                    |  |

Other fee (specify) Response to Notice to File Corrected Application Papers

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$)** 0.00**SUBMITTED BY**

Typed or printed Name: Li K. Wang  
Signature: *[Signature]*  
Name: Kilpatrick Stockton LLP

**Complete (if applicable)**

Reg. No. (Attorney/Agent): 44,393  
Customer No. (if applicable): 23370  
Telephone: (404) 532-6955  
Date: July 6, 2001



#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gilbert, Lanny

Serial No.: 09/873,681  
**CONTINUATION of 09/747,401**

Filed: June 4, 2001

Group Art Unit: 2645

For: SYSTEMS AND METHODS FOR AUDIO REMINDER MESSAGES

**Box Non-Fee Amendment**  
Commissioner for Patents  
Washington, DC 20231

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### RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

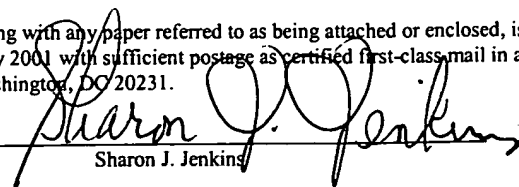
Dear Sir:

In response to the Notice to File Corrected Application Papers mailed on June 21, 2001, enclosed is a set of corrected drawings, Figs. 1-7. A copy of the Notice to File Corrected Application Papers is also enclosed under Tab A and Figs. 1-7 under Tab B.

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#### CERTIFICATE OF MAILING (37 CFR 1.8a)

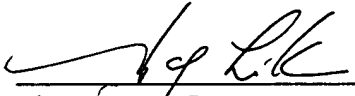
I hereby certify that this correspondence, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on this 6 day of July 2001 with sufficient postage as certified first-class mail in an envelope addressed to Box Non-Fee Amendment, Commissioner for Patents, Washington, DC 20231.

  
Sharon J. Jenkins

U.S.S.N.: 09/873,681  
Filed: June 4, 2001  
Applicants: Gilbert, Lanny

The undersigned does not believe a fee is due for this response, however if this belief is incorrect, the Commissioner is authorized to charge any additional fees due or credit any overpayment to Deposit Account No. 11-0855.

Respectfully submitted,

  
Li K. Wang, Esq.  
Reg. No. 44,393  
Attorney for Assignee

OF COUNSEL:

Dated: July 6, 2001  
KILPATRICK STOCKTON LLP  
1100 Peachtree Street, Suite 2800  
Atlanta, GA 30309-4530  
404.532.6955

Attorney Docket No.: 36968/248470  
BS No.: 00224